

Notice of References Cited		Application/Control No.	Applicant(s)/Patent Under Reexamination SCHMALENBERGER, WARREN F.	
		Examiner Daniel S Felten	Art Unit 2164	Page 1 of 1

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
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	B	US-5126936A	06-1992	Champion et al	364/408
	C	US-5761442	06-1998	Barr et al	395/236
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

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	U	Fernholz, R., "Diversity-weighted indexing", Journal of portfolio management; new york; New York; winter 1998			
	V	"Annual changes to the Nasdaq-100 Index, new Index share product on the Nasdaq-100 Index", PR Newswire; New York; December 14, 1998			
	W	Larsen, G., "Empirical insights on indexing", Journal of Portfolio Management; New York; Fall 1998.			
	X	Kelly, J., "The relationship between bonds and stocks in emerging countries", Journal of Portfolio Management; New York; Spring 1998			

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.